

# Asymptotic Relations for Partitions

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Asymptotic expansions, similar to those of Roth and Szekeres, are obtained for the number of partitions of a positive integer into summands from a given set of integers under new restrictions.

## 1. INTRODUCTION

Let  $A = \{a_0, a_1, \dots\}$  be an infinite sequence of monotonically increasing positive integers. Under suitable restrictions on  $A$ , we shall obtain asymptotic estimates for the number  $p_A(n)$  of ways that  $n$  can be written in the form

$$n = a_{\nu_1} + a_{\nu_2} + \dots + a_{\nu_r} \quad (0 \leq \nu_1 \leq \nu_2 \leq \dots \leq \nu_r),$$

$v$  being arbitrary. We shall assume from now on that the greatest common divisor of  $A$  is one.

To state our result requires some definitions and notation that will be used throughout this paper:

Let  $A(u)$  denote the number of elements of  $A$  which are  $\leq u$ .

We define the function  $f_A$  for real  $x > 0$  by

$$f_A(x) = \sum e^{-xa_{\nu}}.$$

We say that  $A$  has property (I) if with  $\epsilon > 0$  an arbitrary constant,  $\mu$  any fixed positive integer,

$$\sum (xa_{\nu})^{\mu} e^{-xa_{\nu}} = O(f_A^{1+\epsilon}(x))$$

and

$$f_A(x)/f_A[x(1 - f_A^{-(1+\epsilon)/3}(x))] = O(1)$$

as  $x \rightarrow 0$ . It shall be proven later (see Lemmas 2.4 and 2.5) that  $A$  has property (I) when any of the conditions (i), (ii), (iii) below hold:

- (i)  $s = \lim_{\nu \rightarrow \infty} \frac{\log \log a_\nu}{\log \nu}$  exists;
- (ii)  $\varliminf_{\nu \rightarrow \infty} (\log a_\nu)/\nu > 0$ ;
- (iii)  $A(2u) = O\{A(u)\}$  as  $u \rightarrow \infty$ .

We say that  $A$  has property (II) if there exists some constant  $\delta$  with  $1 > \delta > 0$  and some constant  $\eta$  with  $1/3 > \eta > 0$  such that

$$A(x^{-1}f_A^{-\delta}(x))/\log f_A(x) \rightarrow \infty$$

and

$$A(x^{-1}) > f_A^{(2/3)+\eta}(x)$$

as  $x \rightarrow 0$ . It shall be proven later that  $A$  has property (II) when either

$$(\varlimsup_{\nu \rightarrow \infty} \log a_\nu / \log \nu) / \varliminf_{\nu \rightarrow \infty} \log a_\nu / \log \nu < \frac{3}{2}$$

or  $A(2u) = O\{A(u)\}$  as  $u \rightarrow \infty$  or (i) above holds with  $s > 0$ .

We say that  $A$  is a  $P$ -sequence if there does not exist a number  $p$  such that  $p/a_\nu$  for all sufficiently large  $a_\nu$ . Note that  $A$  is a  $P$ -sequence iff it has the property  $P_k$  of Bateman and Erdős [1] for all  $k$ .

We define  $\alpha$ ,  $A_\mu$ ,  $D_\rho$  to be the  $\eta^*$ ,  $A_\mu^*$ , and  $D_\rho^*$  of Roth and Szekeres [2], that is,  $\alpha = \alpha(n)$  is determined from

$$n = \sum a_\nu (e^{\alpha a_\nu} - 1)^{-1}. \quad (1.1)$$

$A_\mu = A_\mu(n)$  ( $\mu = 2, 3, \dots$ ) is defined by

$$A_\mu = \sum a_\nu^\mu g_\mu(e^{\alpha a_\nu})(e^{\alpha a_\nu} - 1)^{-\mu},$$

where  $g_\mu(x)$  is a certain polynomial of degree  $\leq \mu - 1$  and in particular  $g_1(x) = 1$  and  $g_2(x) = x$ .

$D_\rho = D_\rho(n)$  ( $\rho = 1, 2, \dots$ ) is defined by

$$D_\rho = A_2^{-6\rho} \sum_{\mu_1=2}^{\infty} \cdots \sum_{\mu_{5\rho}=2}^{\infty} d_{\mu_1\mu_2\ldots\mu_{5\rho}} A_{\mu_1} A_{\mu_2} \cdots A_{\mu_{5\rho}}$$

the summation being subject to

$$\mu_1 + \mu_2 + \cdots + \mu_{5\rho} = 12\rho,$$

where the  $d$ 's are certain numerical constants.

Our main result can now be stated.

**THEOREM 1.1.** *Let  $A$  have properties (I) and (II). Suppose that either  $A$  is a  $P$ -sequence or that*

$$\lim_{x \rightarrow 0} \log f_A(x) / \log x = 0.$$

*Suppose furthermore that*

$$\overline{\lim} \frac{\log \log a_\nu}{\log \nu} < \infty.$$

*Let  $m$  be any fixed integer  $\geq 2$ . Then*

$$p_A(n) = (2\pi A_2)^{-1/2} \exp \left\{ \sum_{\nu=0}^{\infty} \left[ \frac{\alpha a_\nu}{e^{\alpha a_\nu} - 1} - \log(1 - e^{-\alpha a_\nu}) \right] \right\} \\ \times \left[ 1 + \sum_{\rho=1}^{m-2} D_\rho + O\{f_A^{1-(2m/3)}(\alpha)\} \right].$$

It is clear even from the statement of the theorem that we rely heavily upon the work of Roth and Szekeres [2]. An advantage of this theorem over that of Roth and Szekeres is that the condition  $\log a_\nu = O(\log \nu)$  is relaxed. Another is that the theory of trigonometric sums is not required. (See the remark at the end of this paper.)

The condition  $A(2u) = O\{A(u)\}$  as  $u \rightarrow \infty$  has been used previously by Schwarz, for example, [3–5]. Theorem 1.1 is most closely related to Theorems 5 and 6 of [5]. We only consider the case of integral  $a_\nu$ 's; however, in this case, our restrictions complement those of Theorem 5 and are rather more easily verified than those of Theorem 6 of [5].

*Proof of Theorem 1.1.* The proof is rather long. Thus, we sketch very briefly the proof before proceeding. It is well known that the generating function  $F_A(z)$  for  $p_A(n)$  (with  $p_A(0) = 1$ ) is

$$F_A(z) = \sum p_A(n) z^n = \prod (1 - z^{a_\nu})^{-1},$$

where the series and product converge absolutely for  $|z| < 1$  (see [6]). From Cauchy's theorem,

$$p_A(n) = \frac{1}{2\pi i} \int F_A(\xi) \xi^{-(n+1)} d\xi, \quad (1.2)$$

where the path of integration is taken to be a circle with center at the origin and radius  $\rho < 1$ . For reasons explained in detail in [7] we set  $\rho = e^{-\alpha}$ , where  $\alpha$  is defined by 1.1. (This is a saddle-point condition.)

Letting  $\xi = e^{-\alpha + i\theta}$  in (1.2), we obtain

$$p_A(n) = \frac{1}{2\pi} \exp \left\{ \alpha n - \sum \log (1 - e^{-\alpha a_\nu}) \right\} \\ \times \int_{-\pi}^{\pi} \exp \left\{ - \sum \log \left( \frac{1 - \exp(-\alpha a_\nu + i a_\nu \theta)}{1 - \exp(-\alpha a_\nu)} \right) - i n \theta \right\} d\theta. \quad (1.3)$$

We dissect the integration of (1.3) into 3 parts:

$$\int_{-\pi}^{\pi} = I_1 + I_2 + I_3, \quad I_1 = \int_{-\theta_0}^{\theta_0}, \quad I_2 = \int_{\theta_0}^{\pi}, \quad I_3 = \int_{-\pi}^{-\theta_0}.$$

If we set

$$\theta_0 = \alpha f_A^{-(1+n)/3}(\alpha), \quad (1.4)$$

we may approximate the integrand of  $I_1$  by its Taylor series about  $\theta = 0$  and the contributions from  $I_2$  and  $I_3$  are negligible. In all subsequent discussion, we assume that  $\theta_0$  has this value.

Moreover, in the following discussions, all equations involving  $\alpha$  may be satisfied only for sufficiently small  $\alpha$ . In view of (1.1), this is equivalent to sufficiently large  $n$ .

## 2. THE ASYMPTOTIC EXPANSION OF $I_1$

We now determine the asymptotic expansion of  $I_1$  with  $\theta_0$  given by Eq. (1.4). Though Section 2 draws very heavily upon [2], there are differences since we are not assuming that  $\lim \log a_\nu / \log \nu$  exists as  $\nu \rightarrow \infty$ . We attempt to indicate these while avoiding as much duplication of [2] as possible. The Taylor series of the integrand about  $\theta = 0$  is required. Let

$$f_\nu(\theta) = -\log \left( \frac{1 - \exp(i a_\nu \theta - \alpha a_\nu)}{1 - \exp(-\alpha a_\nu)} \right).$$

In a manner very similar to that in [2], it can be shown that for  $\theta \leq \alpha/2$ , we may write

$$f_\nu(\theta) = \sum_{\mu=1}^{\infty} \frac{b_\mu}{\mu!} (i\theta a_\nu)^\mu, \quad (2.1)$$

where

$$b_\mu = \sum_{l=1}^{\mu} \underbrace{\sum_{k_1=1}^{\mu} \cdots \sum_{k_l=1}^{\mu}}_{k_1+k_2+\cdots+k_l=\mu} (e^{\alpha a_\nu} - 1)^{-l} \frac{\mu!}{k_1! k_2! \cdots k_l!}. \quad (2.2)$$

Thus

$$b_\mu = (e^{\alpha a_\nu} - 1)^{-\mu} g_\mu(e^{\alpha a_\nu}),$$

where  $g_\mu(x)$  is that of Section 1. Proceeding as in [2], we obtain (fixed integral  $m \geq 2$ )

$$\begin{aligned} \sum_{\nu=0}^{\infty} f_\nu(\theta) &= \sum_{\nu=0}^{\infty} \left( \sum_{\mu=1}^{2m-1} \frac{b_\mu}{\mu!} (i\theta a_\nu)^\mu \right) \\ &\quad + O \left\{ \sum_{\nu=0}^{\infty} \left[ \frac{(|\theta| a_\nu)^{2m}}{(e^{\beta a_\nu} - 1)^{2m-1}} + \frac{(|\theta| a_\nu)^{2m}}{(e^{\beta a_\nu} - 1)} + \frac{(|\theta| a_\nu)^{2m}}{(e^{\beta a_\nu} - 1)^{2m}} \right] \right\} \quad (2.3) \end{aligned}$$

where  $\beta = \alpha(1 - f_A^{-(1+\eta)/3}(\alpha))$ . The 0-term depends upon  $m$  but not of course  $\alpha$ .

The following lemma is easily established:

LEMMA 2.1. *Let  $\mu$  and  $j$  be integers with  $\mu \geq j$ . Then*

$$\sum_{\nu=0}^{\infty} (\alpha a_\nu)^\mu (e^{\alpha a_\nu} - 1)^{-j} = O \left\{ \sum_{\nu=0}^{\infty} [1 + (\alpha a_\nu)^\mu] e^{-\alpha a_\nu} \right\},$$

where the  $O$ -constant depends upon  $\mu$  and  $j$  but is independent of  $\alpha$ .

From Lemma 2.1 and Eq. (2.3), Lemma 2.2 easily follows.

LEMMA 2.2. *Let  $A$  have property (I). Then with  $\epsilon > 0$  an arbitrary constant*

$$\sum_{\nu=0}^{\infty} f_\nu(\theta) = \sum_{\nu=0}^{\infty} \left( \sum_{\mu=1}^{2m-1} \frac{b_\mu}{\mu!} (i\theta a_\nu)^\mu \right) + O\{(\theta/\alpha)^{2m} f_A^{1+\epsilon}(\alpha)\}.$$

We now obtain as in [2] that

$$I_1 = \int_{-\theta_0}^{\theta_0} \exp \left\{ -\frac{1}{2} A_2 \theta^2 + \sum_{\mu=3}^{2m-1} \frac{A_\mu}{\mu!} (i\theta)^\mu + O\{(\theta/\alpha)^{2m} f_A^{1+\epsilon}(\alpha)\} \right\} d\theta. \quad (2.4)$$

Note that in view of Eqs. (1.1), (2.2), and (2.3), the coefficient of  $\theta$  is 0, which is of course the saddle-point condition at work.

LEMMA 2.3. *Let  $A$  have property (I). Let  $\epsilon > 0$  be an arbitrary constant. Let  $\mu \geq 2$  be a fixed integer. Then*

$$\alpha^\mu A_\mu = O\{f_A^{1+\epsilon}(\alpha)\}.$$

*The  $O$ -constant depends upon  $\mu$  but not  $\alpha$ .*

*Proof.* From the definition of the  $A_\mu$ , it easily follows that

$$\alpha^\mu A_\mu = O\left\{\sum [1 + (\alpha a_\nu)^\mu] e^{-\alpha a_\nu}\right\},$$

as  $\alpha \rightarrow 0$ . Since  $A$  has property (I), the lemma follows.

From Lemma 2.3, we obtain that

$$A_{3+j}\theta^{3+j} = O\{f_A^{1+\epsilon-(1+\eta)/3}(3+j)(\alpha)\}.$$

Since we are free to choose  $\epsilon < 2m\eta/3$ , we may expand the integrand in Eq. (2.2) as in [2] to obtain

$$\exp\{-(-\frac{1}{2}A_2\theta)^2\}\{1 + E(\theta) + O(f_A^{1-(2m/3)}(\alpha))\},$$

where

$$E(\theta) = \sum_{\nu=1}^{5m} \sum_{\mu_1=3}^{2m-1} \cdots \sum_{\mu_\nu=3}^{2m-1} \frac{1}{\mu!} \frac{A_{\mu_1} A_{\mu_2} \cdots A_{\mu_\nu}}{\mu_1! \mu_2! \cdots \mu_\nu!} \times (i\theta)^{\mu_1+\mu_2+\cdots+\mu_\nu}.$$

Putting  $\theta = t(\frac{1}{2}A_2)^{1/2}$ ,  $B_0 = \theta_0(A_2/2)^{1/2}$ , we obtain

$$I_1 = (\frac{1}{2}A_2)^{-1/2} \int_{-B_0}^{B_0} e^{-t^2} [1 + E\{t(\frac{1}{2}A_2)^{-1/2}\} + O(f_A^{1-(2m/3)}(\alpha))] dt.$$

It is easily seen that  $\alpha^2 A_2 > K f_A(\alpha)$  for some constant  $K > 0$  if  $A$  has property (I); hence, that  $B_0 > K f_A^{n/3}(\alpha)$ . We may thus replace the limits of integration by  $-\infty$  to  $\infty$ . Expanding  $E\{t(\frac{1}{2}A_2)^{-1/2}\}$  in powers of  $t$  and integrating termwise as in [2] yields the following.

THEOREM 2.1. *Let  $A$  have property (I). Let  $m \geq 2$  be a fixed integer. Then*

$$I_1 = (2\pi/A_2)^{1/2} \left[ 1 + \sum_{\rho=1}^{m-2} D_\rho + O\{f_A^{1-(2m/3)}(\alpha)\} \right].$$

We now give some sequences which have property (I).

LEMMA 2.4. *A has property (I) if either*

$$s = \lim_{\nu \rightarrow \infty} \frac{\log \log a_\nu}{\log \nu}$$

*exists or*

$$\lim_{\nu \rightarrow \infty} \frac{\log a_\nu}{\nu} > 0.$$

*Proof.* (a) Suppose first of all that  $s = 0$ . We then have  $a_\nu < \exp(\nu^\delta)$  for  $\nu$ 's greater than some lower bound  $\nu_0 = \nu_0(\delta)$ . Let  $a_{\nu_1}$  be the largest element of  $A$  such that  $\alpha a_{\nu_1} \leq 1$ . Then

$$f_A(\alpha) > \sum_{\nu=\nu_0}^{\nu_1} e^{-\alpha a_\nu} > e^{-1} [A(1/\alpha) - \nu_0] > (e^{-1}/2) A(1/\alpha).$$

Since  $A(1/\alpha) > 1/2 \log^{1/\delta} 1/\alpha$  and since  $\delta > 0$  was an arbitrary constant, we obtain that  $f_A(\alpha) > \log^{1/\psi} 1/\alpha$  for every constant  $\psi > 0$ .

(b) Let  $\epsilon > 0$  be for now an arbitrary constant. Let  $\alpha a_{\nu_2}$  be the largest element of  $A$  such that  $\alpha a_{\nu_2} \leq f_A^{\epsilon/\mu}(\alpha)$ . Then

$$\sum_{\nu=\nu_2+1}^{\infty} (\alpha a_\nu)^\mu e^{-\alpha a_\nu} \leq \int_{\alpha a_{\nu_2}+1}^{\infty} (\alpha x)^\mu e^{-\alpha x} dx.$$

Thus

$$\sum_{\nu_2+1}^{\infty} = O\{\alpha^{-1} \exp(-f_A^{\epsilon/\mu}(\alpha)/4)\}.$$

From part (a), it follows that this  $O$ -term is  $o(1)$ . Since

$$\sum_{a_\nu \leq a_{\nu_2}} (\alpha a_\nu)^\mu e^{-\alpha a_\nu} \leq f_A^{1+\epsilon}(\alpha),$$

it follows that if  $s = 0$ , then  $A$  will have property (I) if  $f_A(\beta) = O\{f_A^{1+\epsilon}(\alpha)\}$ , where  $\beta = \alpha(1 - f_A^{-(1+\epsilon)/3}(\alpha))$ . Since  $\beta \sim \alpha$  as  $\alpha \rightarrow 0$ , we obtain exactly as above that

$$\sum_{a_\nu > \beta^{-1} f_A^{\epsilon/\mu}(\alpha)} (\beta a_\nu)^\mu e^{-\beta a_\nu} = O(1).$$

For  $\beta a_\nu \leq f_A^{\epsilon/\mu}(\alpha)$ ,  $(\beta a_\nu)^\mu e^{-\beta a_\nu} = O\{(\alpha a_\nu)^\mu e^{-\alpha a_\nu}\}$ , since we may choose  $\epsilon$  small; thus, if  $s = 0$ , then  $A$  has property (I).

(c) Suppose now that  $s > 0$ . As in (a), we obtain, with  $\delta > 0$  an arbitrary constant that

$$\log f_A(\alpha) < [1/(s + \delta)] \log \log 1/\alpha$$

as  $\alpha \rightarrow 0$ .

Now  $y^\mu e^{-y}$  is monotonic decreasing for  $y \geq c (= c(\mu))$ . Let

$$f_\mu(\alpha) = \sum (\alpha a_\nu)^\mu e^{-\alpha a_\nu} = \sum' + \sum'';$$

where  $\sum'$  denotes summation over those  $\nu$  for which  $\alpha a_\nu \leq c$ , and  $\sum''$  denotes summation over the remaining  $\nu$ . Clearly  $\sum' = O(f_A(\alpha))$ . By the same type of argument as in (b)

$$\begin{aligned} \sum'' &< \int_\alpha^\infty y^{\mu-1} e^{-y} \frac{d}{dy} (\log^{1/(s-\delta)} y / \alpha) dy \\ &< \int_{e^2}^\infty y^{\mu-1} e^{-y} \log^{1/(s-\delta)} y / \alpha + O\{\log^{1/(s-\delta)} 1/\alpha\}. \end{aligned}$$

Since  $\log^{1/(s-\delta)} y / \alpha \leq \log^{1/(s-\delta)} y \log^{1/(s-\delta)} 1/\alpha$  for  $y \geq e^2$  and sufficiently small  $\alpha$ , we obtain that  $\sum'' = O\{\log^{(s-\delta)^{-1}} 1/\alpha\}$ . Hence,  $\log f_\mu(\alpha) \sim s^{-1} \log \log 1/\alpha \sim \log f_A(\alpha)$ .

Since  $\beta \sim \alpha$ , we may furthermore conclude that  $\log f_A(\beta) \sim \log f_A(\alpha)$ . Hence,  $A$  has property (I) when  $s > 0$ .

(d) Suppose  $\lim_{\nu \rightarrow \infty} \log a_\nu / \nu > 0$ . Then as in (c), we obtain that  $\sum'' = O(1)$ ; hence,  $\log f_\mu(\alpha) = O\{f_A^{1+\epsilon}(\alpha)\}$ . Furthermore, as in (b), it can be proven that  $f_A(\beta) = O\{f_A^{1+\epsilon}(\alpha)\}$ .

**LEMMA 2.5.** *If  $A(2u) = O\{A(u)\}$  as  $u \rightarrow \infty$ , then there exists a positive constant  $c$  such that*

$$A(1/\alpha) > cf_A(\alpha).$$

Furthermore,  $A$  has property (I).

*Proof.* By assumption, there exists a positive constant  $c_0$  such that  $A(2u) < c_0 A(u)$  for  $u > u_0$ .

Hence

$$\sum_{a_\nu > 2^{j+1}\alpha^{-1}}^{a_\nu \leq 2^{j+1}\alpha^{-1}} e^{-\alpha a_\nu} < e^{-1} [A(2^{j+1}\alpha^{-1}) - A(2^j\alpha^{-1})] < e^{-2^j} c_0^{j+1} A(\alpha)^{-1}.$$

Thus  $\sum \exp(-\alpha a_\nu) < c_0 A(\alpha^{-1}) \sum \exp(2^{-j}) c_0^j = O\{A(\alpha^{-1})\}$  and the first part of the lemma is proven. Similarly,  $\sum (\alpha a_\nu)^\mu \exp(-\alpha a_\nu) = O\{A(\alpha^{-1})\}$ . Furthermore,  $f_A(\beta) = O\{A(\beta^{-1})\} = O\{A(\alpha^{-1})\}$  with  $\beta = \alpha\{1 - f^{(-1+\epsilon)/3}(\alpha)\}$ . Clearly  $f_A(\alpha) > c_1 A(\alpha^{-1})$  and the lemma is proven.

It seems likely to the author that a fundamentally different method is required if  $A$  does not have property (I). (Probably  $\epsilon$  being "small" in



property (I) is sufficient.) There are sets which do not possess property (I). Define the sequence  $\nu_i$  ( $i = 0, 1, 2, \dots$ ) with  $\nu_0 = 0$  by

$$\nu_{i+1} = \left\lfloor \frac{3 + (4 \exp(\nu_i^{1/2}) - 4\nu_i + 5)^{1/2}}{2} \right\rfloor.$$

Define the sequence  $a_\nu$  ( $\nu = 0, 1, 2, \dots$ ) by

$$\begin{aligned} a_{\nu_i} &= [\exp(\nu_i^{1/2})]; \\ a_{\nu_i+j} &= a_{\nu_i} + j, \quad i = 1, 2, \dots, \nu_{i+1} - \nu_i - 1. \end{aligned}$$

Define  $\alpha_i$  by

$$\exp(\nu_i^{1/2}) = \frac{1}{2} \alpha_i^{-1} (\log \alpha_i^{-1} + 3 \log \log \alpha_i^{-1}).$$

Then it can be shown by straightforward though somewhat messy calculations, again with  $f_\mu(\alpha) = \sum (\alpha a_\nu)^\mu \exp(-\alpha a_\nu)$ , that  $f_\mu(\alpha_i) > f_{\mathcal{A}}^{\mu/2}(\alpha_i)$ .

Of course, one could have  $a_{\nu_{i-1}} \approx \nu_i^s$  instead of  $\nu_i^2$  and  $a_{\nu_i} \approx \exp(\nu_i^M)$  instead of  $\exp(\nu_i^{1/2})$ . The author knows of no sequence for which  $\lim \log \log a_\nu / \log \nu > 0$  that does not have property (I), however.

### 3. THE ESTIMATION OF THE INTEGRALS $I_2$ AND $I_3$

Since  $I_3$  can be treated analogously to  $I_2$ , we shall consider only  $I_2$ . We set  $I_2 = I_2' + I_2'' + I_2'''$ , where the range of integration in  $I_2'$  is from  $\theta_0$  to  $\alpha$ , in  $I_2''$ , from  $\alpha$  to  $\alpha f^\delta(\alpha)$ , and in  $I_2'''$ , from  $\alpha f^\delta(\alpha)$  to  $\pi$ , where  $\delta$  is fixed and  $0 < \delta < 1/8$ .

Throughout this section, we define  $G(\theta)$  by

$$G(\theta) = \prod_{\nu=0}^{\infty} (1 - e^{-\alpha a_\nu})(1 - e^{-\alpha a_\nu + j a_\nu \theta})^{-1}.$$

Since  $\operatorname{Re}(\log f(z)) = \frac{1}{2} \log |f(z)|^2$ ,

$$|G(\theta)| = \exp \left\{ -\frac{1}{2} \sum \log \left( 1 + \frac{2e^{\alpha a_\nu}(1 - \cos a_\nu \theta)}{(e^{\alpha a_\nu} - 1)^2} \right) \right\}.$$

Note that each term in the product is  $\leq 1$  in modulus.

**LEMMA 3.1.** *Suppose that for some constant  $\eta$  with  $\eta$  subject to  $\frac{1}{3} \geq \eta > 0$*

$$A(x^{-1}) > f_{\mathcal{A}}^{2/3+\eta}(x)$$

as  $x \rightarrow 0$ . Then for each constant  $N > 0$

$$I_2' = O\{\alpha f_A^{-N}(\alpha)\}$$

as  $\alpha \rightarrow 0$ . The  $O$ -constant depends upon  $N$  and  $\eta$  but not  $\alpha$ .

*Proof.* There exists a constant  $K' > 0$  such that

$$1 - \cos a_\nu \theta > K'(a_\nu \theta)^2$$

for  $a_\nu \theta < 1$ . Also there exists a constant  $K'' > 0$  such that

$$2e^{\alpha a_\nu}(e^{\alpha a_\nu} - 1)^{-2} > K''(\alpha a_\nu)^{-2}.$$

Thus  $a_\nu \theta \leq a_\nu \alpha < 1$  implies that

$$|G(\theta)| < \exp\{-k/2 \log(1 + (K'/K'')(\theta^2/\alpha^2))\},$$

where  $k$  is the number of  $a_\nu$  such that  $\alpha a_\nu \leq 1$ , i.e.  $k = A(\alpha^{-1})$ . This is

$$\begin{aligned} &< \exp\{-k/2 \log(1 + K(\theta^2/\alpha^2))\}, \quad K = K'/K'' \\ &\leq \exp\{-kKf_A^{-(2/3)-(2\eta/3)}(\alpha)\}. \end{aligned}$$

Since  $A(\alpha^{-1}) > f_A^{(2/3)+\eta}(\alpha)$

$$|G(\theta)| < \exp\{-f^{\eta/3}(\alpha)\} = O\{f_A^{-N}(\alpha)\}.$$

Moreover, in the same way, Lemma 3.2 follows.

LEMMA 3.2. Suppose there exists a constant  $\delta$  with  $0 < \delta < 1$  such that

$$A(f_A^{-\delta}(\alpha) \alpha^{-1})/\log f_A(\alpha) \rightarrow \infty$$

as  $\alpha \rightarrow 0$ . Let  $N$  be any constant  $> 0$ . Then

$$I_2'' = O\{\alpha f^{-N}(\alpha)\}.$$

The  $O$ -constant depends upon  $\delta$  and  $N$  but not  $\alpha$ .

It seems that most "commonly occurring" sequences do satisfy property (II).

LEMMA 3.3. *A has property (II) if either*

$$(i) \quad s = \varliminf_{\nu \rightarrow \infty} \frac{\log a_\nu}{\log \nu} \leq \varlimsup_{\nu \rightarrow \infty} \frac{\log a_\nu}{\log \nu} < 3s/2;$$

$$(ii) \quad A(2u) = O\{A(u)\} \text{ as } u \rightarrow \infty;$$

or

$$(iii) \quad l = \lim_{\nu \rightarrow \infty} \frac{\log \log a_\nu}{\log \nu} \text{ exists and } l > 0.$$

*Proof.* (a) Suppose (i) holds.  $s \geq 1$  since  $a_\nu \geq \nu$ . Reasoning as in (c) of Lemma 2.4, we conclude that  $\log f_A(\alpha) < [(1 + \epsilon)/s] \log(\alpha^{-1})$  as  $\alpha \rightarrow 0$  for each constant  $\epsilon > 0$ .

Furthermore, it is easy to show that for each fixed  $\eta'$  with  $0 \leq \eta' < 1$ .

$$\log A(\alpha^{\eta'-1}) > \frac{2}{3} \frac{(1 - \eta')(1 - \epsilon)}{s} \log 1/\alpha \quad (3.1)$$

as  $\alpha \rightarrow 0$ . Thus there exists an  $\eta > 0$  such that

$$A(\alpha^{-1}) > f_A^{(2/3)+\eta}(\alpha).$$

Finally, it is easy to show using (3.1) that there exists a  $\delta$  with  $1 > \delta > 0$  such that  $A(\alpha^{-1} f_A^{-\delta}(\alpha)) / \log f_A(\alpha) \rightarrow \infty$  as  $\alpha \rightarrow 0$ . Thus *A* has property (II). Case (iii) is similar.

(b) Suppose (ii) holds. Then there exists a positive constant  $c > 0$  such that  $A(2u) < cA(u)$  for  $u > u_0$ . This  $\delta < (2 \log_2 c)^{-1}$ . We may assume  $f_A^{-\delta}(\alpha) \alpha^{-1} > u_0$ . Then for  $j = 1, 2, \dots$

$$A(\alpha^{-1} 2^{-j}) > c^{-j} A(\alpha^{-1}).$$

Thus

$$\begin{aligned} A(\alpha^{-1} f_A^{-\delta}(\alpha)) &\geq A(\alpha^{-1} 2^{-(1 + [\delta \log_2 f_A(\alpha)])}) \\ &\geq c^{-1} f_A^{-\delta \log_2 c}(\alpha) \\ &\geq c^{-1} f_A^{-1/2}(\alpha) A(\alpha^{-1}). \end{aligned}$$

From Lemma 2.5, we obtain

$$A(\alpha^{-1} f_A^{-\delta}(\alpha)) > c_1 f_A^{1/2}(\alpha).$$

This coupled with Lemma 2.5 shows that *A* has property (II).

The next lemma will be used repeatedly in the following.

LEMMA 3.4. *Let  $\phi$  be any constant with  $0 < \phi < \pi$ . If  $\theta \in [\phi, 2\pi - \phi]$ , then*

$$|(1 - e^{-\alpha a_\nu})(1 - e^{-\alpha a_\nu + i a_\nu \theta})^{-1}| \leq (1 - \cos \phi)^{-1/2} (1 - e^{-\alpha a_\nu})(1 + e^{-2\alpha a_\nu})^{-1/2}.$$

*Proof.* Suppose  $\theta \in [\phi, \pi]$ . If we construct the triangle with vertices at 0, 1,  $\exp(-\alpha a_v + i a_v \theta)$ , we obtain from the cosine law for triangles,

$$\begin{aligned} |1 - \exp(-\alpha a_v + i a_v \theta)| \\ &= (1 + \exp(-2\alpha a_v) - 2 \exp(-\alpha a_v) \cos \theta)^{1/2} \\ &= (1 + \exp(-2\alpha a_v))^{1/2} \left(1 - \frac{2 \exp(-\alpha a_v) \cos \theta}{1 + \exp(-2\alpha a_v)}\right)^{1/2}. \end{aligned}$$

Since  $0 < 2e^{-\alpha a_v}/(1 + e^{-2\alpha a_v}) \leq 1$ , this yields that

$$\begin{aligned} |1 - \exp(-\alpha a_v + i a_v \theta)| &\geq (1 + \exp(-2\alpha a_v))^{1/2} (1 - \cos \theta)^{1/2} \\ &\geq (1 + \exp(-2\alpha a_v))^{1/2} (1 - \cos \phi)^{1/2}. \end{aligned}$$

The case  $\theta \in [\pi, 2\pi - \phi]$  is treated similarly.

The estimation of  $I_2''$  requires several theorems:

**THEOREM 3.1.** *Let  $A$  be a  $P$ -sequence. Let  $\epsilon > 0$  be an arbitrary constant. Let  $N > 0$  be an arbitrary fixed integer. Then*

$$\int_{\alpha^{\epsilon/N}}^{\pi} G(\theta) e^{-ni\theta} d\theta = O(\alpha^{N-\epsilon})$$

as  $\alpha \rightarrow 0$ . The  $O$ -constant depends upon  $N$  and  $\epsilon$  but not  $\alpha$  (or  $n$ ).

*Proof.* For each  $a_v \in A$ , construct the sets

$$\Delta_{(v)}^j = \left[ \frac{j2\pi - \alpha^{\epsilon/N}}{a_v}, \frac{j2\pi + \alpha^{\epsilon/N}}{a_v} \right],$$

where  $1 \leq j \leq [a_v/2]$ . We note that all  $\theta \in \Delta_{(v)}^j$  are mapped into the interval  $[j2\pi - \alpha^{\epsilon/N}, j2\pi + \alpha^{\epsilon/N}]$  under the map  $\theta \rightarrow \theta a_v$ .

Let  $a_l > 1$  be some element of  $A$  and let  $(m, n)$  denote the greatest common divisor of the integers  $m$  and  $n$ . Suppose for some  $j$  the equation

$$(i) \quad j/a_l = k/a_v$$

is solvable for each  $a_v \in A$  with  $v > l$ . This implies that

$$[a_l/(a_l, j)] a_v$$

for each  $v > l$ . Since  $j \leq a_l/2$ , we have that  $a_l/(a_l, j) \geq 2$  and this contradicts that  $A$  is a  $P$ -sequence. Thus, for each  $j$  there exists an  $a(l, j) \in A$  such that (i) is not solvable for  $a_v = a(l, j)$ .

If  $\theta \notin \bigcup \Delta_{(v)}^j$ , ( $j = 1, 2, \dots, [a_l/2]$ ), then from Lemma 3.4

$$(1 - e^{-\alpha a_l})(1 - e^{-\alpha a_l + i a_l \theta})^{-1} = O(\alpha^{1-\epsilon/N})$$

as  $\alpha \rightarrow 0$ .

For sufficiently small  $\alpha$

$$\Delta_{(l)}^j \cap \bigcup_k \Delta_{(a(l,j))}^k = \Phi.$$

Thus if  $\theta \in \Delta_{(l)}^j$  from Lemma 3.4, it follows that

$$[1 - \exp(-\alpha a(l, j))] \times [1 - \exp(-\alpha a(l, j) + ia(l, j)\theta)] = O(\alpha^{1-(\epsilon/N)}).$$

We thus conclude that the product

$$\frac{1 - e^{-\alpha a_l}}{1 - e^{-\alpha a_l + i a_l \theta}} \prod_j' \frac{1 - e^{-\alpha a(l, j)}}{1 - e^{-\alpha a(l, j) + i a(l, j) \theta}} = O(\alpha^{1-(\epsilon/N)}),$$

where  $\prod_j'$  means that the product is taken over the distinct  $a(l, j)$ .

Let  $a_L$  be the smallest element of  $A$  which is greater than each of the  $a(l, j)$ . Then we may repeat the above argument with  $a_L$  replacing  $a_l$ . Doing this  $N$  times, we obtain that  $G(\theta) = O(\alpha^{N-\epsilon})$  and the theorem follows at once.

**THEOREM 3.2.** *Let  $N$  and  $n$  be arbitrary fixed integers  $> 0$ . Let*

$$\alpha^{1/4} = O\{f_A^{-N}(\alpha)\}$$

*as  $\alpha \rightarrow 0$  through elements of  $\mathcal{A}$ , where  $\mathcal{A}$  is a subset of the positive reals and  $\min \mathcal{A} = 0$ . Then*

$$\int_{\alpha^{1/4}}^{\pi} G(\theta) e^{-ni\theta} d\theta = O\{\alpha f_A^{-N}(\alpha)\}$$

*as  $\alpha \rightarrow 0$  through elements of  $\mathcal{A}$ . The  $O$ -constant depends upon  $N$  but not  $\alpha$  (or  $n$ ).*

*Proof.* (a) We obtain as in the proof of Theorem 3.1 with  $N = 1$ ,  $\epsilon = 1/4$  that there is a subproduct of  $G(\theta)$  which is  $O(\alpha^{3/4})$ .

(b) Now consider some higher indexed term:

$$(1 - \exp(-\alpha a_\nu)) / (1 - \exp(-\alpha a_\nu + ia_\nu \theta)).$$

As in Theorem 3.1, construct the sets

$$\Delta_{(\nu)}^j = [(j2\pi - \alpha^{1/2})/a_\nu, (j2\pi + \alpha^{1/2})/a_\nu].$$

If  $\theta \notin \bigcup \Delta_{(\nu)}^j$ , then

$$(1 - \exp(-\alpha a_\nu)) / (1 - \exp(-\alpha a_\nu + ia_\nu \theta)) = O(\alpha^{1/2}).$$

Those  $\theta \in \bigcup \mathcal{A}_{(\nu)}^i$  form a set of measure  $\mathcal{M}$  where  $\mathcal{M} = O\{\alpha^{1/2}\}$ . Let

$$G_1(\theta) = \prod_{l \geq \nu} \frac{1 - e^{-\alpha a_l}}{1 - e^{-\alpha a_l + i a_l \theta}}.$$

Then

$$\begin{aligned} \int_{\alpha^{1/4}}^{\pi} |G(\theta)| d\theta &= O \left\{ \alpha^{3/4} \int_{\alpha^{1/4}}^{\pi} |G_1(\theta)| d\theta \right\} \quad \text{from part (a)} \\ &= O \left\{ \alpha^{3/4} \int_{\theta \in \bigcup \mathcal{A}_{(\nu)}^i} |G_1(\theta)| d\theta + \alpha^{3/4} \int_{\theta \in \bigcup \mathcal{A}_{(\nu)}^j} |G_1(\theta)| d\theta \right\} \\ &= O\{\alpha^{1+1/4}\} = O\{\alpha f_A^{-N}(\alpha)\}. \end{aligned}$$

**THEOREM 3.3.** *Let  $N, n$  be arbitrary positive constants. Let  $\delta$  be any constant with  $0 < \delta < 1$ . Define  $M = [N/\delta] + 1$ . Then*

$$\int_{\alpha f_A^{\delta}(\alpha)}^{3\pi/2\alpha_M} G(\theta) e^{-in\theta} d\theta = O\{f_A^{-N}(\alpha)\}.$$

The  $O$ -constant depends upon  $N$  and  $\delta$  but not  $\alpha$  (or  $n$ ).

*Proof.* Since  $a_\nu \leq 3\pi/2$  for  $\nu = 1, 2, \dots, M$ ;  $a_\nu \theta \in [\alpha f^{\delta}(\alpha), 3\pi/2]$  for these  $\nu$ . From Lemma 3.4, it follows that if  $\nu \leq M$

$$\frac{1 - e^{-\alpha a_\nu}}{1 - e^{-\alpha a_\nu + i a_\nu \theta}} = O\{\alpha^{-1} f_A^{-\delta}(\alpha)\} O(\alpha) = O\{f_A^{-\delta}(\alpha)\}.$$

Thus

$$|G(\theta)| = O \left\{ \prod_{\nu \leq M} f_A^{-\delta}(\alpha) \right\} = O\{f_A^{-N}(\alpha)\}.$$

The theorem follows immediately.

**THEOREM 3.4.** *Let  $N$  and  $n$  be arbitrary constants  $> 0$ . Let  $\psi$  be a constant  $> 0$  and suppose that  $f_A(\alpha) = O(\alpha^{-\psi})$  as  $\alpha \rightarrow 0$  through elements of  $\mathcal{B}$ , where  $\min \mathcal{B} = 0$ . Let  $\delta$  be any constant  $0 < \delta < \frac{1}{2}$ . Suppose furthermore that  $\lim \log \log a_\nu / \log \nu < \infty$ . Then there exists a fixed integer  $M$  such that*

$$\int_{\alpha f_A^{\delta}(\alpha)}^{3\pi/2\alpha_M} G(\theta) e^{-in\theta} d\theta = O\{\alpha f_A^{-N}(\alpha)\}$$

as  $\alpha \rightarrow 0$  through elements of  $\mathcal{B}$ . The  $O$ -constant depends upon  $N$  and  $\delta$  but not  $\alpha$  or  $n$ .

*Proof.* Let  $K = \overline{\lim} \log \log a_n / \log \nu$ . Then  $A(x) \geq (\log x)^{1/(K+\epsilon)}$  where  $\epsilon > 0$  is an arbitrary constant.

(a) If  $f_A^\delta(\alpha) \geq \alpha^{-1/(K+2)}$ , let  $M = [N/\delta] + [(2K+2)/\delta] + 2$ . Since  $a_\nu \theta \leq 3\pi/2$  for  $\nu = 1, 2, \dots, M$ , we obtain that

$$\begin{aligned} \int_{\alpha f_A^\delta(\alpha)}^{\alpha f_A^\delta(\alpha)} G(\theta) e^{-in\theta} d\theta &= O\{f_A^{-\delta M}(\alpha)\} \\ &= O\{\alpha f_A^{-N}(\alpha)\} \end{aligned}$$

by the proof of Theorem 3.3.

(b) Here we suppose that  $f_A^\delta(\alpha) < \alpha^{-1/(2K+2)}$ . Suppose that  $a_\nu \leq \alpha^{1-[1/(K+5/2)]}$ . Then, by Lemma 3.4, as  $\alpha \rightarrow 0$

$$(1 - \exp(-\alpha a_\nu)) / (1 - \exp(-\alpha a_\nu + ia_\nu \theta)) \leq f_A^\delta(\alpha).$$

Now

$$\begin{aligned} A\{\alpha^{-(1-[1/(K+5/2)])}\} &> \log^{1/(2K+1)}\{\alpha^{-(1-[1/(K+5/2)])}\} \\ &\geq [1 - [1/(K+5/2)]]^{1/(2K+1)} \log^{1/(2K+1)}(1/\alpha). \end{aligned}$$

Thus

$$A\{\alpha^{-(1-[1/(K+5/2)])}\} \geq c_0 \log^{1/(2K+1)}(1/\alpha),$$

where  $c_0$  is some absolute constant  $> 0$ . Now

$$\begin{aligned} \int_{\alpha f_A^\delta(\alpha)}^{\alpha(f_A(\alpha))^{\delta \log^{1/(4K+2)}(\alpha^{-1})}} |G(\theta)| d\theta &\leq \alpha(f_A(\alpha))^{\delta \log^{1/(4K+2)}(\alpha^{-1})} \\ &\quad \times (f_A(\alpha))^{-\delta A(\alpha^{1-[1/(K+5/2)]})} \\ &\leq \alpha(f_A(\alpha))^{-(\delta c_0/2) \log^{1/(2K+1)}(1/\alpha)} \end{aligned}$$

as  $\alpha \rightarrow 0$ .

In the same way, we obtain

$$\int_{\alpha(f_A(\alpha))^{\delta \log^{j/(4K+2)}(1/\alpha)}}^{\alpha(f_A(\alpha))^{\delta \log^{(j+1)/(4K+2)}(1/\alpha)}} |G(\theta)| d\theta \leq \alpha(f_A(\alpha))^{-(\delta c_0/2) \log^{1/(2K+1)}(1/\alpha)},$$

as  $\alpha \rightarrow 0$ ; for  $j = 0, 1, \dots, -[-4K-2] = J$ . Also

$$\begin{aligned} \int_{\alpha(f_A(\alpha))^{\delta \log^J/(4K+2)}(\alpha^{-1})}^{\alpha^{1-[1/(K+5/2)]}} |G(\theta)| d\theta \\ \leq \alpha^{1-[1/(K+5/2)]} \times (f_A(\alpha))^{-\delta \log^J/(4K+2)(\alpha^{-1}) \times A(\alpha^{-(1-(K+5/2))})} \\ \leq \alpha^{1-[1/(K+5/2)]} (f_A(\alpha))^{-\delta c_0 \log^{(J+2)/(4K+2)}(\alpha^{-1})}. \end{aligned}$$

Since  $(J+1)/(4K+2) > 1$ , we obtain that this last term is

$$O\{\alpha(f_A(\alpha))^{-\delta c_0 \log^{1/(4K+2)}(1/\alpha)}\}.$$

Since  $\log^{1/(4K+2)}(\alpha^{-1}) > N/c_0\delta$  as  $\alpha \rightarrow 0$ , we obtain

$$\int_{\alpha f_A^{\delta(\alpha)}}^{\alpha^{1-[1/(K+5/2)]}} |G(\theta)| d\theta = O\{\alpha f_A^{-N}(\alpha)\}.$$

The integral from  $\alpha^{1-[1/(K+5/2)]}$  to  $3\pi/2a_M$  may be treated as in part (a); thus, the theorem is proven.

We now give our final result in the estimation of  $I_2$ .

**THEOREM 3.5.** *Let  $A$  have property (II). Choose  $N$  to be any fixed integer  $> 0$ . Let  $A$  satisfy either (i) or (ii) below:*

- (i)  $\lim_{\alpha \rightarrow 0} \log f_A(\alpha)/\log 1/\alpha = 0$ ;
- (ii)  $A$  is a  $P$ -sequence.

*Suppose furthermore that  $\overline{\lim} \log \log a_v/\log v < \infty$ . Then  $I_2 = O\{\alpha f_A^{-N}(\alpha)\}$ .*

*Proof.* Let  $\delta$  be any possible  $\delta$  of property (II) which is less than  $1/8$ . We conclude from Lemmas 3.1 and 3.2 that

$$\int_{\theta_0}^{\alpha f_A^{\delta(\alpha)}} G(\theta) e^{-in\theta} d\theta = O\{\alpha f_A^{-N}(\alpha)\}. \quad (3.2)$$

(a) Suppose condition (i) holds. Then we take  $\psi = N^{-1}$  and  $\mathcal{B}$  to be the positive real in Theorem 3.4. Then it follows that

$$\int_{\alpha f_A^{\delta(\alpha)}}^{3\pi/2a_{M_1}} G(\theta) e^{-in\theta} d\theta = O\{\alpha f_A^{-N}(\alpha)\}. \quad (3.3)$$

Moreover, in case (i) the set  $\mathcal{A}$  in Theorem 3.2 may be chosen to be the positive reals. For sufficiently small  $\alpha$ ,  $\alpha^{1/4} < 3\pi/2a_{M_1}$ , and it follows that

$$\int_{3\pi/2a_{M_1}}^{\pi} G(\theta) e^{-in\theta} d\theta = O\{\alpha f_A^{-N}(\alpha)\}. \quad (3.4)$$

Equations (3.2), (3.3), and (3.4) give the theorem.

(b) Suppose condition (ii) holds. Let  $\mathcal{B}$  be those  $\alpha$  for which  $\log f_A(\alpha) < N^{-1} \log 1/\alpha$ . If  $\min \mathcal{B} = 0$ , we apply Theorem 3.4, from which it follows that

$$\int_{\alpha f_A^{\delta(\alpha)}}^{3\pi/2a_{M_2}} G(\theta) e^{-in\theta} d\theta = O\{\alpha f_A^{-N}(\alpha)\}. \quad (3.5)$$

as  $\alpha \rightarrow 0$  through elements of  $\mathcal{B}$ . If  $\alpha \notin \mathcal{B}$ , we have  $f_A(\alpha) > \alpha^{-1/N}$ . With  $M_2 = [2N/\delta] + 1$ , Eq. (3.5) follows from Theorem 3.3.

Since in case (ii), we assumed that  $A$  is a  $P$ -sequence and since



$\alpha = O\{f_A^{-1}(\alpha)\}$ , it follows from Theorem 3.1 that for  $\alpha^{\epsilon/(N+1)} < 3\pi/2a_{M_2}$

$$\int_{3\pi/2a_{M_2}}^{\pi} G(\theta) e^{-in\theta} d\theta = O\{\alpha f_A^{-(N+1)+\epsilon}(\alpha)\}. \quad (3.6)$$

Theorem 3.5 follows when  $A$  is a  $P$ -sequence from Eqs. (3.2), (3.5) and (3.6) with  $\epsilon = \frac{1}{2}$ .

We may now complete the proof of Theorem 1.1. If  $A$  has property (I), it can easily be shown that  $\alpha^2 A_2 = O\{f_A^{1+\epsilon}(\alpha)\}$ . Hence Theorem 1.1 follows from Theorems 2.1 and 3.5.

*Remarks.* The author feels that when  $\log a_\nu = O(\log \nu)$ , the restriction that  $A$  is a  $P$ -sequence cannot be relaxed without modifying the theorem. Some preliminary investigations indicate that if the integer  $p$  divides all sufficiently large  $a_\nu$ , then there are significant contributions arising at  $\theta_j = j\pi/q$ ,  $j = \pm 1, \pm 2, \dots, \pm q$ . It appears that in some cases, one may only obtain an asymptotic relation for  $\log p_A(n)$ .

It is easy to show that if  $A$  is not a  $P$ -sequence, then the assumptions under which Roth and Szekeres obtained asymptotic relations for the number  $q_A(n)$  of partitions of  $n$  into distinct summands from  $A$  are not satisfied. The author has not been able to show this for  $p_A(n)$  but feels that it is quite likely so, for the reason in the preceding paragraph.

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